Coupled frustrated quantum spin- $\frac{1}{2}$ chains with orbital order in volborthite Cu₃V₂O₇(OH)₂·2H₂O

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We present a microscopic magnetic model for the spin-liquid candidate volborthite $Cu_3V_2O_7(OH)_2 \cdot 2H_2O$. The essentials of this density-functional-theory-based model are (i) the orbital ordering of $Cu(1) \ 3d_{3z^2-r^2}$ and $Cu(2) \ 3d_{x^2-y^2}$, (ii) three relevant couplings J_{ic} , J_1 , and J_2 , (iii) the ferromagnetic nature of J_1 , and (iv) frustration governed by the next-nearest-neighbor exchange interaction J_2 . Our model implies magnetism of frustrated coupled chains in contrast to the previously proposed anisotropic kagome model. Exact diagonalization studies reveal agreement with experiments.

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I. INTRODUCTION

The search for new magnetic ground states (GSs) is a major subject in solid-state physics. Magnetic monopoles in the spin ice system $Dv_2Ti_2O_7$ (Refs. 1–3), the metal-insulator transition in the spin-Peierls compound TiOCl (Ref. 4) and the quantum critical behavior in Li_2ZrCuO_4 (Refs. 5 and 6) are among recent discoveries that demonstrate the power of combining precise experimental techniques with modern theory. However, for a rather large number of problems experiment and theory do not keep abreast, since it is often tricky to find a real material realization for a well-studied theoretical model. The most remarkable example is the concept of a "resonating valence bond"⁷—a magnetic GS formed by pairs of coupled spin-singlets lacking the longrange magnetic order (LRO). Subsequent studies revealed a fascinating variety of disordered GS,8,9 commonly called "spin liquids" in order to emphasize their dynamic nature and even raised the discussion of their possible applications.¹⁰

Following the common belief that the spin-liquid GS may emerge from the interplay of low dimensionality, quantum fluctuations, and magnetic frustration, considerable effort has been spent on the search for spin- $\frac{1}{2}$ Heisenberg magnets with kagome geometry. The synthesis of herbertsmithite Cu₃Zn(OH)₆Cl₂,¹¹ the first inorganic spin- $\frac{1}{2}$ system with ideal kagome geometry and subsequent studies revealed besides the desired absence of magnetic LRO (Ref. 12) (i) intrinsic Cu/Zn structural disorder and (ii) the presence of anisotropic interactions complicating the spin physics.¹³ The recently synthesized kapellasite¹⁴ was predicted to imply modified kagome physics due to an additional relevant coupling.¹⁵

Since the search for a system representing the pure kagome model is far from being completed, it is natural to consider systems with lower symmetry where the distortion is small enough to keep the essential physics.¹⁶ This way, the attention has been drawn to the mineral volborthite $Cu_3V_2O_7(OH)_2 \cdot 2H_2O$, where the Cu sites form a slightly distorted kagome network.¹⁷ However, the local environment of two independent Cu sites is essentially different: Cu(1) forms dumbbells of two short Cu-O bonds (and four long

Cu-O bonds; "2+4") while Cu(2) resides in a plaquette formed by four short bonds (Fig. 1, top). Recently, densityfunctional theory (DFT) studies of CuSb₂O₆, implying the 2+4 local environment of Cu atoms, revealed that orbital ordering (OO) drastically changes the nature of the magnetic coupling from three-dimensional to one-dimensional (1D).¹⁸



FIG. 1. (Color online) Top: $Cu(1)O_2$ dumbbells (yellow/gray), $Cu(2)O_4$ plaquettes (dark yellow/dark gray), V_2O_7 pyrovanadate groups (connected green/lighter gray tetrahedra), and H₂O molecules in volborthite. Only short Cu-O bonds are shown. Bottom: a distorted kagome layer in the crystal structure of volborthite. The magnetically active orbitals and leading exchange couplings are shown.

The crucial impact of OO onto magnetism of volborthite will be in the focus of this paper.

The availability of a pure powder and negligible structural disorder in volborthite inspired thorough experimental studies of its magnetic properties. The magnetic GS was recently investigated by ⁵¹V nuclear magnetic resonance (NMR),¹⁹ following earlier NMR,²⁰ high-field electron-spin resonance,²¹ and muon spin relaxation²² studies. The GS is characterized by the absence of magnetic LRO, high density of low-energy excitations and two distinct scales of spin fluctuations. At 4.5 T, volborthite undergoes a transition to another magnetic phase. The fingerprint of this transition is a steplike feature in the magnetization curve. Similar features are observed at 25 and 46 T, hinting at a series of successive transitions.²³ Between 60 and 70 T, the slope of magnetization diminishes indicating a possible onset of a magnetization plateau.²⁴ Magnetic-susceptibility measurements yield a broad maximum at temperatures much smaller than the Curie-Weiss temperature, indicating strong frustration.

Extensive experimental information stimulated theoretical studies aiming to find a consistent description for magnetism of volborthite. Since the pure kagome model does not account for the experimental data, the studies were focused on the GS and thermodynamical properties of the anisotropic kagome model (AKM). However, attempts to reach consistency by varying the degree of anisotropy were not satisfying so far. The most striking disagreement is the deviation of the theoretical magnetic susceptibility χ even at rather high temperatures ($T \sim J$).²⁵

This disagreement originates from the choice of the AKM which was based on geometry only, while the structural peculiarities of volborthite were not considered. A standard tool to treat such peculiarities properly is DFT calculations that can provide a reliable microscopically based model.^{4,5,15,26,27} Here, we show that DFT calculations yield an unexpected microscopic magnetic model for volborthite, moving away from the kagome model. Moreover, we reveal strong similarities to the physics of frustrated coupled chains due to OO. Our subsequent simulations of the microscopic model evidence an improved agreement with the experimental data.

II. DFT CALCULATIONS

The DFT calculations have been performed in the localdensity approximation (LDA) using the full potential²⁸ code FPLO8.65-32.²⁹ For the scalar relativistic calculations, the Perdew and Wang parametrization³⁰ of the exchange-correlation potential has been used. All calculations have been performed on well-converged k meshes.³¹

The reliability of DFT calculations depends crucially on the accuracy of the experimental structural data used as input. The chemical composition of volborthite hampers structural studies due to the considerable content of V and H atoms, which are poor scatterers of neutrons and x-rays, respectively. Therefore, prior to investigations of subtle electronic effects, the structural data should be addressed. Among several structural data sets available we have chosen a structural model (exp) based on joint x-ray and neutrondiffraction studies. Although such combination improves the



FIG. 2. (Color online) Density of states (upper panel), the band structure (lower panel, center), and the orbital-resolved density of states for Cu(1) (left) and Cu(2) (right) of the optimized structure $(3d_{x^2-y^2})$ is shown with a dashed line).

reliability of the resulting data, the statistics (number of reflections) is not sufficient for fully conclusive results. Therefore, we have carried out a structural optimization relaxing the atomic coordinates and minimizing the forces, since LDA calculations for cuprates usually yield accurate and consistent results.^{15,16,32} Moreover, to evaluate the influence of the different structural models, we perform calculations for the experimental as well as for the optimized structure.

The LDA-optimized crystal structure (opt) yields considerably lower total energy and atomic forces. Although individual bond lengths and angles change up to several percents (O-H distance increased by $\sim 10\%$), the overall structural motive and the different local environment of Cu(1) and Cu(2) are inherited from the original model.

LDA yields a valence band width of 7 eV (Fig. 2) typical for cuprates, and a metallic GS in contrast to the green, transparent samples. This well-known problem of the LDA originates from the underestimation of strong on-site correlations for a Cu $3d^9$ configuration. Nevertheless, LDA is a reliable tool to evaluate the relevant orbitals and couplings.³¹ For most cuprates, an effective one-band model is well justified by a band complex at Fermi level (ε_F) formed by *N* antibonding bands, where *N* is the number of Cu atoms per cell. In contrast, in volborthite (*N*=3), six bands in vicinity of ε_F (Fig. 2) evidence a sizable hybridization of two different 3dorbitals at each Cu site that need to be included into the modeling.

The relevant Cu 3*d* orbitals are revealed by projecting the density of states (DOS) onto local orbitals. The resulting orbital-resolved DOS is shown in Fig. 2. For both Cu(1) and Cu(2), the $3d_{x^2-y^2}$ and $3d_{3z^2-r^2}$ states are relevant and hybridized with each other. To evaluate the relevant couplings, we consider two orbitals $(3d_{x^2-y^2} \text{ and } 3d_{3z^2-r^2})$ per Cu atom and fit the six bands using the Wannier functions (WFs) technique.^{31,33}

Prior to evaluation of the relevant couplings, the correct orbital GS should be found. LDA yields an essentially different filling of the orbitals: for Cu(1) the $3d_{3z^2-r^2}$ is close to half filling and the $3d_{x^2-y^2}$ is almost filled (Fig. 2, left) while for Cu(2) it is the other way round (Fig. 2, right). However,



FIG. 3. (Color online) Left: exchange integrals as a function of the structural model (opt or exp), the LSDA+U DCC scheme (AMF or FLL) and U_d (min U_d , max U_d) on the phase diagram of the J_1 - J_2 - J_{ic} model. The white and gray fields correspond to the singlet and the ferrimagnetic phases, respectively. The shaded areas depict possible values of exchange couplings for the both structural models. Our ED results yield the best agreement for $J_{ic}/|J_1|=2$, $J_2/|J_1|=1.1$, depicted by a cross. Right: correlation functions along (squares) and between (circles) the J_1 - J_2 chains deviate significantly from the kagome model (bold gray line).

the closer proximity to half filling in the LDA picture does not necessarily provide the correct answer, as revealed for the related system CuSb_2O_6 .¹⁸ Thus, we cross-check the LDA result by LSDA+*U* calculations. In agreement with the LDA, the latter yield the magnetically active Cu(1) $3d_{3z^2-r^2}$ and Cu(2) $3d_{x^2-v^2}$ (see details below).

The relevant transfer integrals can be extracted from the WF considering the hoppings between the GS orbitals [Cu(1) $3d_{3z^2-r^2}$ and Cu(2) $3d_{x^2-y^2}$]. The leading terms are t_1 and t_{ic} , which coincide with two nearest-neighbor couplings in the AKM (Fig. 1, bottom). Surprisingly, the next-nearest-neighbor (NNN) coupling t_2 is also sizable while other couplings are considerably smaller. A small value of the interlayer coupling supports the two-dimensional (2D) nature of magnetism.

The correct description of the orbital GS requires an appropriate description of correlations in the Cu 3*d* shell, which can be treated in a mean-field way using the LSDA +*U* scheme. By stabilizing solutions comprising different orbital occupations and a subsequent comparison of their total energies, we evaluate the orbital GS. The separation between the orbital GS and the lowest lying excited orbital state $[3d_{x^2-y^2}$ for Cu(1) and Cu(2)] exceeds 500 meV (~6000 K), almost two orders of magnitude larger than the magnetic exchange (~100 K). Therefore, orbital and spin degrees of freedom are mostly decoupled and can be analyzed separately.

The leading exchange integrals J_1 , J_2 , and J_{ic} are obtained mapping the results of LSDA+U total-energy calculations onto a Heisenberg model.³⁴ A careful analysis of the results shows that the individual values of exchange integrals are sensitive to (i) the structural model, (ii) the Coulomb repulsion U_d , and (iii) the double-counting correction (DCC) scheme.³⁵ The crucial influence of these parameters is visualized in Fig. 3, where the results for the experimental and optimized structures are shown. For each structural model, we use the limiting cases for the DCC—around-the-meanfield (AMF) and the fully localized limit (FLL) (Ref. 36) and vary U_d within a reasonable range.³⁷ Depending on these parameters, we obtain $J_1 = -80 \pm 10$ K, $J_2 = 35 \pm 15$ K, and $J_{ic} = 100 \pm 60$ K for the experimental and $J_1 = -65 \pm 15$ K, $J_2 = 45 \pm 15$ K, and $J_{ic} = 100 \pm 60$ K for the optimized lattice.

The substantially ferromagnetic nature of J_1 , in accord with Goodenough-Kanamori-Anderson rules, and the relatively small uncertainties of its strength disregarding the parameters used give strong evidence that the pure kagome model is inappropriate for volborthite. The AKM can be ruled out since J_1 and J_{ic} support each other and do not give rise to frustration. Our microscopic insight evidences that an essentially different model with frustration governed by NNN exchange J_2 (competing with both J_1 and J_{ic}) should be used for volborthite. Despite sizable scattering of the J values, important general trends can be established. First, the optimized structure has an enhanced $J_2/|J_1|$ ratio compared to the experimental structure. Second, FLL yields considerably smaller J_{ic} and somewhat larger values for J_2 than AMF.

Based on DFT calculations, we obtain a microscopic magnetic model and determine the parameters J_1 , J_2 , and J_{ic} . Although we find the relevant region in the phase space, the complexity of volborthite impedes a more accurate estimate of individual exchange integrals, especially J_{ic} . In this case, refining the parameters by numerical simulations of measured physical properties and subsequent comparison to experimental data is an appropriate way toward a deeper understanding.

III. EXACT DIAGONALIZATION

To realize a guided search for a consistent set of exchange integrals, the GS of the J_1 - J_2 - J_{ic} model should be investigated. We explore the phase space by considering a Heisenberg model with $J_1 < 0$, $J_2 > 0$, and $J_{ic} > 0$. On a classical level, we find two GSs: a ferrimagnetic (fM) phase with magnetization m=1/3 and an incommensurate m=0 helical (H) phase with spiral correlations along J_1 - J_2 frustrated chains, similar to those of edge-shared quasi-1D cuprates (see, e.g., Ref. 5). The transition from the fM phase to the H phase is driven by the frustrating NNN in-chain coupling J_2 and occurs at $J_2^{class} = |J_1|/4 + J_{ic}/8$. To discuss the GS of the quantum model we use Lanczos exact diagonalization of finite lattices up to N=36 sites.^{38,39} For the quantum model, the fM state competes with a singlet GS with m=0 and the transition is given by $J_2^{quant} = 0.304 |J_1| + 0.200 J_{ic}$.⁴⁰ The transition line together with the DFT-derived exchange integrals are plotted in Fig. 3 (left). Since the experiments evidence zero magnetization of the GS, the fM solutions can be ruled out and the analysis can be restricted to the singlet GS.

To understand the nature of the GS, we consider spin correlations as a sensitive probe for magnetic ordering. While the correlations between the chains are similar to the standard kagome Heisenberg antiferromagnet, they are completely different along the J_1 - J_2 frustrated chains (Fig. 3, right). These in-chain correlations fit to a spiral state with a pitch angle very close to the classical model. Hence, our data suggest well pronounced in-chain spiral correlations together with weaker interchain correlations. We mention, however, that these statements are restricted to short-range correlations.

Since the magnetic correlations along the chains are strongest, one could argue that the model exhibits an effectively 1D low-temperature physics as has been discussed previously for other 2D models such as the crossed-chain model,⁴¹ anisotropic triangular lattice,⁴² as well as for modified kagome compounds.¹⁵ However, this issue as well as a conclusive answer to the question of helical LRO need further investigation.

To comprise the experimental magnetization curve, we add the magnetic field term to the Heisenberg Hamiltonian and simulate the m(h) dependence. For the boundary of the fM and singlet GS, we find a wide 1/3 magnetization plateau starting at $h_{c1}=0$. However, the modification of the exchange parameters, in particular, increasing of J_2 and decreasing of $|J_1|$ and J_{ic} , according to the limits set by DFT calculations leads to a significant increase of h_{c1} and to a drastic diminishing of the plateau width. Close to the DFT boundary $(J_{ic}/|J_1|=2, J_2/|J_1|=1.1, \text{ and } J_{ic}=100 \text{ K})$, we obtain h_{c1} =22 T, which is still smaller than the experimentally observed value. We should note that this deviation originates from the minimalistic character of the model and considerable finite-size effects. Nevertheless, a slightly modified ratio $J_2/|J_1|=1.6$ yields $h_{c1}=55$ T (Fig. 4, bottom) in excellent agreement with the experiment. It should be mentioned that the nature of spin correlations in the 1/3-plateau phase is substantially different compared to the kagome model.43 Small magnetization jumps seen experimentally²³ cannot be resolved with present lattice sizes and might be related to anisotropic exchange.

We also calculate the temperature dependence of magnetic susceptibility $\chi(T)$ using two different lattices³¹ up to N=24 sites. We obtain a good fit down to 50 K (Fig. 4, top),⁴⁴ the resulting g=2.16 and $J_{ic}=100.5$ K are in excellent agreement with experiments²¹ and our estimates from DFT.

IV. SUMMARY

To summarize, we suggest a new magnetic model for volborthite: assuming that DFT calculations are applicable to volborthite as they are for a plethora of compounds, the kagome model can be safely ruled out. Instead, the magne-



FIG. 4. (Color online) Top: fits to the experimental $\chi(T)$ (Ref. 24). The solution of the J_1 - J_2 - J_{ic} model yields an improved description down to 50 K compared to the kagome model (bold gray line). Bottom: magnetization curves (N=36 sites) for different solutions of the J_1 - J_2 - J_{ic} model in comparison to the kagome model.

tism of volborthite is accounted for by a J_1 - J_2 - J_{ic} model reminiscent of coupled frustrated chains. For the proposed model, the orbital order of Cu(1) $3d_{3z^2-r^2}$ and Cu(2) $3d_{x^2-y^2}$ orbitals is crucial.

We suggest different experiments to challenge our model: resonant x-ray scattering measurements to study orbital effects and measurements in high magnetic fields (>70 T) to get an access to the magnetization plateau. Additional investigations of the J_1 - J_2 - J_{ic} model itself by alternative simulation methods are desirable to clarify the influence of finitesize effects, intrinsic for exact diagonalization.

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